# Scaling, anisotropy and complexity in near-surface atmospheric turbulence

# Ivana Stiperski<sup>1</sup>, Marc Calaf<sup>2</sup>, Mathias W. Rotach<sup>1</sup>

<sup>1</sup>Department of Atmospheric and Cryospheric Sciences, University of Innsbruck, Innsbruck, Austria. <sup>2</sup>Department of Mechanical Engineering, University of Utah, Salt Lake City, Utah, USA

# 6 Key Points:

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- Similarity Theory
- Anisotropy Invariants
- Turbulent flows in complex terrain

Corresponding author: Ivana Stiperski, ivana.stiperski@uibk.ac.at

#### 10 Abstract

The development of a unified similarity scaling has so far failed over complex surfaces, 11 as scaling studies show large deviations from the empirical formulations developed over 12 flat and horizontally homogeneous terrain and also large deviations between the differ-13 ent complex terrain datasets. However, a recent study of turbulence anisotropy for flat 14 and horizontally homogeneous terrain has shown that separating the data according to 15 the limiting states of anisotropy (isotropic, two-component axisymmetric and one com-16 ponent turbulence) improves near-surface scaling. In this paper we explore whether this 17 finding can be extended to turbulence over inclined and horizontally heterogeneous sur-18 faces by examining near-surface scaling for twelve different datasets obtained over ter-19 rain ranging from flat to mountainous. Although these datasets show large deviations 20 in scaling when all anisotropy types are examined together, the separation according to 21 the limiting states of anisotropy significantly improves the collapse of data onto common 22 scaling relations indicating the possibility of a unified framework for turbulence scaling. 23 The causes for the breakdown of scaling and the physical mechanisms behind the tur-24 bulence complexity encountered over complex terrain are identified and shown to be re-25 lated to the distance to the isotropic state, prevalence of directional shear with height 26 in mountainous terrain and the existence of non-isotropy in the inertial subrange. A mea-27 sure of turbulence complexity is finally developed. 28

#### <sup>29</sup> 1 Introduction

Atmospheric surface-layer (ASL) similarity theory was developed as a unified the-30 ory of statistically stationary turbulence over horizontally homogeneous and flat terrain 31 [HHF; e.g. Monin and Yaglom, 1971]. Although never meant to be employed over het-32 erogeneous and non-flat surfaces, the lack of a better framework has thus far led to sim-33 ilarity theory being employed in weather prediction and climate models over all types 34 of terrain [cf. Rotach et al., 2017]. Given the prevalence of heterogeneity of the earth land 35 surface [e.g. Rotach et al., 2014], adaptations were developed by reconciling theory and 36 application under the principle of 'local' homogeneity in order to be able to model real 37 flows over heterogeneous surfaces. Meaning, that over small enough regions, sampled long 38 enough, what a-priori might resemble a heterogeneous surface, can ultimately be inter-39 preted as homogeneous. While these practical adjustments work well for regions with 40 weak heterogeneities [e.g. Sfyri et al., 2018], similarity relationships become severely chal-41 lenged in complex terrain [e.g. Martins et al., 2009; Nadeau et al., 2013; Sfyri et al., 2018] 42 where terrain slope, land-use characteristics and complexity of the flow itself (e.g. low-43 level jets, flow separation etc.) cause turbulence to exhibit increasing complex structure 44 [e.g. Nadeau et al., 2013; Oldroyd et al., 2016; Stiperski and Rotach, 2016; Grachev et al., 45 2016). In this work, reference to complex terrain is understood as topographic pertur-46 bations that induce spatial and/or temporal perturbations to the atmospheric flow with 47 a timescale shorter than that of the diurnal cycle or mesoscale phenomena (e.g., sloped48 terrain, ground roughness and thermal patchiness, obstacles, etc.) 49

Numerous studies have illustrated the adequacy of similarity theory under ideal-50 ized terrain and flow conditions [e.g. Panofsky and Dutton, 1984; Wyngaard, 2010]. Nonethe-51 less, an important degree of scatter still exists, particularly for horizontal velocity vari-52 ances that are commonly assumed not to obey surface layer scaling [e.g. Kaimal and Finni-53 gan, 1994; Wyngaard, 2010; Banerjee et al., 2015; Chamecki et al., 2017]. This scatter 54 also persists despite the advanced post-processing techniques and progressively more re-55 strictive quality criteria imposed on the data. In an effort to overcome these challenges, 56 Stiperski and Calaf [2018] employed a novel approach by examining traditional similar-57 ity scaling relations over flat and horizontally homogeneous terrain based on clustering 58 the data according to anisotropy. Results of this work illustrated a strong dependence 59 between the quality of the scaling fit and the characteristic topology of the turbulent flow, 60 showing that the similarity scaling significantly improves when the turbulent flow is a-61

priory classified according to the anisotropy type. In essence, results illustrated that isotropic 62 and two-component axisymmetric type turbulence scales the best (*i.e.*, show closest col-63 lapse on a scaling line), although for horizontal velocity components, the two types of 64 anisotropy were shown to follow different scaling curves. This finding could explain the 65 commonly encountered large scatter observed for scaled standard deviations of horizon-66 tal velocities. On the other hand, one-component turbulence strongly departs from reg-67 ular scaling curves. These results together with the possibility of predicting the anisotropy 68 type based on larger scale variables as shown in *Stiperski and Calaf* [2018], promised to 69 be a powerful tool in improving similarity scaling relations. 70

In complex terrain, on the other hand, despite the progressively more severe restric-71 tions imposed on the experimental data [cf. Stiperski and Rotach, 2016], significant scat-72 ter and a relevant degree of discrepancy between the experimental data and similarity 73 relationships are more evident. Even more, all the studies examining the applicability 74 of surface-layer scaling for data obtained over diverse complex settings [e.g., Park and 75 Park, 2006; de Franceschi et al., 2009; Martins et al., 2009; Nadeau et al., 2013; Kral et al., 76 2014; Babić et al., 2016a,b; Grachev et al., 2016; Sfyri et al., 2018] show that the scal-77 ing relations not only differ from the functional relations obtained over flat and horizon-78 tally homogeneous terrain, but also differ from site to site, suggesting that scaling might 79 be inherently 'local' (i.e., location dependent) and therefore no unified theory of turbu-80 lence over all types of surfaces is possible. The search for an additional scaling variable 81 in complex terrain that could explain these discrepancies has so far been proven unsuc-82 cessful, as the only systematic study to date [Sfyri et al., 2018] found no clear relation-83 ship between scaling and slope angle, at least for the scaled standard deviations of scalars. 84 Still, the data from progressively more complex surfaces do show larger deviations from 85 the scaling curve and generally larger scatter, even if the exact mechanism behind this 86 finding escapes clear explanation. 87

In this work, and based on the earlier approach first introduced in *Stiperski and* 88 Calaf [2018], we present a new interpretation of the a-priori mismatch of near-surface 89 data in complex terrain and traditional scaling relations based on the anisotropy of the 90 turbulence stress tensor. The results show that similar to flat and horizontally homo-91 geneous terrain, separating the complex terrain data according to anisotropy significantly 92 improves scaling, offering a pathway towards a unified theory of turbulence. In addition, 93 we provide a novel approach that defines *complexity* as not only exclusively associated 94 with terrain characteristics, but also to the actual resultant turbulence structure. The 95 physical mechanisms causing this complexity are then identified. This new definition of 96 complexity could facilitate comparison between different datasets collected in regions with 97 different atmospheric and topographic characteristics. 98

The paper is organized as follows: in Section 2 the datasets and post-processing methods are presented, the anisotropy analysis is reviewed, and scaling relations introduced; Section 3 presents the relationship between similarity scaling and the anisotropy of turbulence over complex terrain; Section 4 identifies a measure of turbulence complexity and examines its relation to the physical mechanisms acting in complex terrain; an extended discussion of the results and implications for similarity theory as well as conclusions are provided in Section 5.

#### 106 2 Methodology

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#### 2.1 Datasets

In this study we examine turbulence measurements from twelve flux towers located on surfaces of different complexity, ranging from flat to highly complex mountainous terrain. These are part of well known datasets and include the tower at Cabauw experimental site for atmospheric research (Cesar) of the Royal Netherlands Meteorological Insti-

tute [e.g., Beljaars and Bosveld, 1997], the Cooperative Atmosphere – Surface Exchange 112 Study 1999 [CASES-99; Poulos et al., 2002], the Terrain-induced Rotor Experiment [T-113 Rex; Grubišič et al., 2008], the Mountain Terrain Atmospheric Modeling and Observa-114 tions [MATERHORN; Fernando et al., 2015], the Second Meteor Crater Experiment [METCRAX 115 II; Lehner et al., 2016, and the Innsbruck Box [i-Box; Rotach et al., 2017]. A detailed 116 description of the datasets is given in Table 1. The dataset that conforms to the flat and 117 horizontally homogeneous terrain the best is CASES-99. Already studied in Stiperski and 118 Calaf [2018] it forms the basis of the current analysis. The data consist of a month of 119 measurements from a 60 m tower with 7 levels of sonic anemometers. Due to issues with 120 the anisotropy of the CSAT3 measurements during stable periods identified in *Stiper*-121 ski and Calaf [2018], here we only study the levels with ATI-K probes in stable condi-122 tions. Cabauw data can also be considered flat, however, horizontally weakly inhomo-123 geneous [Sfyri et al., 2018]. The other datasets were chosen according to their increas-124 ing terrain complexity. The Central tower from T-Rex is located at an almost flat val-125 ley floor, however, its setting within a mountain valley (*i.e.*, complex terrain) neverthe-126 less has a profound influence on scaling [cf. Babić et al., 2016b]. The i-Box0 valley floor 127 site (see Table 1), apart from being located in a narrower valley than T-Rex, is addition-128 ally characterized by larger surface heterogeneity, given that it is surrounded by mixed 129 agricultural land. The rest of the datasets are located on slopes of various steepness and 130 are strongly influenced by flows associated with sloped terrain (e.q., thermally-driven131 katabatic and anabatic flows and dynamically-driven wind systems) and/or heterogene-132 ity. The i-Box1 station has a small slope angle, however, the influence of surface hetero-133 geneity (corn and meadows) for this station is larger than the influence of sloping ter-134 rain because the dominant wind direction is across the slope. The same is true for T-135 Rex West tower, although there the wind rose also shows a large influence of katabatic 136 flows as well as downslope windstorms [Babić et al., 2016b]. On the other hand, deep 137 katabatic flows with jet maxima between 20 and 40 m above ground level (agl) develop 138 regularly at the METCRAX II NEAR tower [cf. Savage et al., 2008; Lehner et al., 2016]. 139 Persistent shallow katabatic flows with a jet maximum at around 5 m agl are also found 140 at MATERHORN ES4 and ES5 towers located at the top of a relatively shallow slope 141 below a couloir [Grachev et al., 2016]. Even shallower katabatic flows develop at the steeper 142 i-Box10 and i-Box27 stations. The i-Box mountain top station (i-BoxTop) represents the 143 most complex site due to its location on a ridge exposed to flow from all sides that, de-144 pending on wind direction, responds to very different slope angles. Operating continu-145 ous turbulence measurements at this station is challenging therefore only seven months 146 of measurements were analyzed in this study, as opposed to the other i-Box stations where 147 one year of data was analyzed. The datasets (in both Table 1 and future Figures) are 148 a-priory subjectively ordered according to their slope angle, with colder colors represent-149 ing gentler slopes (flat terrain being considered more ideal than the flat valley floor lo-150 cations) and warmer colors progressively steeper slope angles  $(4 - 27^{\circ})$ . 151

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#### 2.2 Data treatment and quality control

To remove the inconsistencies in data processing applied by different groups respon-159 sible for each of the datasets, we reanalyzed all the data with a processing routine de-160 scribed in *Stiperski and Calaf* [2018]. First, the Multi-resolution flux decomposition [MRD, 161 e.g., Vickers and Mahrt, 2003 technique was used to determine the optimal averaging 162 time for daytime and nighttime turbulence (Figure 1). As in *Stiperski and Calaf* [2018] 163 we separate the data into strongly and weakly stable/unstable regimes to examine how 164 the averaging time depends on the stability. The results show that for the examined datasets 165 a 1 min averaging time for stable stratification and 30 min averaging time for unstable 166 stratification generally capture the majority of turbulence contributions to the flux while 167 eliminating most of the (sub)mesoscale effects. The obvious exception here is the i-Box27 168 steep slope station (orange line in Figure 1). At that station very stable conditions are 169 rarely encountered, therefore 1 min average slightly underestimates the nighttime fluxes. 170

Station	Official Name	Short Name	Location	Terrain Complexity	Measurements heights [m]	Slope Angle [°]	Surface Type	Data length
CASES-99	CASES-99	CA	Kansas	flat	$5, 10, 20, 30, \\40, 50, 55$	< 0.5	Grassland	October 1999
Cabauw	Cabauw	CB	Netherlands	flat	3, 60	< 0.5	Grassland	July-October 2007
T-RexC	Central Tower	TRC	California	flat valley floor	$\begin{bmatrix} 5, \ 10, \ 15, \ 20, \\ 25, \ 30 \end{bmatrix}$	< 0.5	Desert	March-May 2006
i-Box0	CS-VF0	B0	Austria	flat valley floor	4, 8.7, 16.9	< 0.5	Mixed Agricul- tural	January- December 2015
i-Box1	CS-SF1	iB1	Austria	flat foothills	6.6	1	Alpine meadow and agri- cultural	January- December 2015
METCRAX II	Near Tower	MC	Arizona	Gentle Slope	5, 10, 15, 20, 25, 30, 35, 40, 45, 50	1	Desert	October 2013
T-RexW	West Tower	TRW	Califronia	Gentle Slope	$\begin{bmatrix} 5, 10, 15, 20, \\ 25, 30 \end{bmatrix}$	3.25	Desert	March-May 2006
MATERHORN ES4	ES4 Tower	MT4	Utah	Gentle Slope	$\left \begin{array}{c} 0.47,2.05,5.12,\\ 10,20,26.5\end{array}\right $	4	Desert	September- October 2013
MATERHORN ES5	ES5 Tower	MT5	Utah	Gentle Slope	$\begin{array}{c} 0.55,2.14,5.13,\\ 10.13,20.08\end{array}$	6.4	Desert	September- October 2013
i-Box10	CS-NF10	iB10	Austria	Steep Slope	6.2	10	Alpine meadow	January- December 2015
i-Box27	CS-NF27	iB27	Austria	Steep Slope	6.8	27	Alpine meadow	January- December 2015
i-BoxTop	CS-MT21	iBTop	Austria	Mountain Top	4.67	21	High- Alpine Vegeta- tion	April-October 2015

 Table 1. Information on the datasets used in the study.

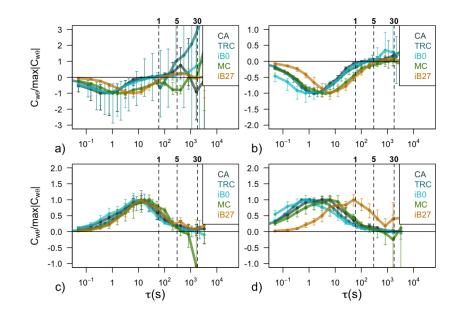


Figure 1. Multi-resolution flux decomposition of heat flux for example nights with (a) strongly stable, (b) weakly stable, (c) strongly unstable and (d) weakly unstable stratification, respectively, for the lowest measurement level of different datasets (shown in color, see Table 1 for abbreviations). The colored lines represent medians and are normalized by their maximum value within the turbulence scales for each dataset so as to eliminate differences in magnitude. Error bars represent the 25 and 75 percentile. Vertical dashed lines indicate timescales of 1 min, 5 min and 30 min, respectively.

The data were then de-trended and block averaged to the given averaging time. Dou-171 ble rotation was used to align the coordinates into the streamwise coordinate system. 172 Zero-plane displacement information was applied to the stations where this information 173 was available. For i-Box measurements, the zero-plane displacement was calculated based 174 on the measurements of surrounding vegetation height [Sfyri et al., 2018]. For the two 175 T-Rex towers the values from the study of *Babić et al.* [2016b] were used. For the other 176 datasets the zero-plane displacement was assumed to be zero given the generally low veg-177 etation height. 178

Vertical wind shear and temperature gradients needed for calculating the gradient 179 Richardson number  $R_i$  were determined for datasets with multiple measurement levels 180 (*i.e.*, CASES-99, T-Rex, METCRAX II, MATERHORN and i-Box0). In order to deter-181 mine the local wind speed gradient at each measurement height analytic profiles were 182 fit through the entire tower length. Different analytic formulations were needed for each 183 dataset due to profiles having different characteristics, particularly in case of the exis-184 tence of low-level jets. The formulations used were  $x = a + bz + cz^2 + d\log(z)$  for 185 CASES-99,  $x = a + bz + cz^2 + d\log(z) + e\log(z)^2$  for T-Rex,  $x = a + bz + cz^2 + dz^3 + dz^3$ 186  $e \log(z) + f \log(z)^2$  for METCRAX II,  $x = a + b \log(z) + c \log(z)^2$  for MATERHORN, 187 and finally  $x = a + b \log(z) + c \log(z)^2 + d \log(z)^3$  for i-Box0. As a quality check, only 188 those wind speed gradients in which the root mean square error of the best fit was lower 189 than  $0.3 m s^{-1}$  were taken into account. 190

All turbulence data were required to pass the basic quality control (test of physical limits) as well as to satisfy the stationarity test given by *Foken and Wichura* [1996] at its standard 30% level. As in *Stiperski and Calaf* [2018], the stationarity criterion was dropped for small fluxes *i.e.*, for very unstable conditions stationarity of the momentum flux was not required, while for near-neutral conditions the same was true for the sta-

tionarity of the heat flux. For datasets with multiple levels, the requirement that the gra-196 dient Richardson number be smaller than 0.25 was also imposed [cf. Grachev et al., 2013]. 197 As shown in *Stiperski and Calaf* [2018], existence of unstably stratified turbulence dur-198 ing nighttime points to non-local sources of turbulence and cannot be expected to fol-199 low scaling. In order to filter these counter gradient fluxes, theoretical incoming short-200 wave radiation was used to determine sunrise and sunset times together with the con-201 servative cross-over time of the daily cycle of sensible heat flux. This was particularly 202 important for i-Box stations where a year of data was analyzed meaning that sunset and 203 sunrise times varied significantly. No flux corrections were applied to the data, the same 204 as in *Stiperski and Calaf* [2018]. 205

#### 206 2.3 Anisotropy

Traditionally, the Reynolds stresses  $(u'_i u'_j)$  can be decomposed into an isotropic and 207 anisotropic contribution, the latter being the one contributing the most to the transport 208 of momentum [*Pope*, 2000]. The anisotropy contribution to scalar fluxes is also assumed 209 to be important. The sum of the isotropic components of the Reynolds stress tensor is 210 traditionally referred to as twice the turbulent kinetic energy  $(2e = u'_i u'_i)$ . In the above 211 notation, a prime indicates a departure of a time-averaged quantity, and the overbar in-212 213 dicates the time-averaging operation. Additionally, the indices i, j vary between 1 to 3, in reference to the traditional Cartesian coordinate reference system with 1 indicating 214 the streamwise, 2 the spanwise, and 3 the surface-normal directions, respectively. 215

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The deviatoric anisotropy stress tensor defined as,

$$a_{ij} \equiv \overline{u_i u_j} - \frac{2}{3} e \delta_{ij},\tag{1}$$

and in non-dimensional form (normalized by 2e) as,

$$b_{ij} = \frac{\overline{u_i u_j}}{\overline{u_l u_l}} - \frac{1}{3} \delta_{ij},\tag{2}$$

has long been studied in relationship to, for example, the pressure-strain correlation to 218 219 develop models that capture the return-to-isotropy process once mean velocity gradients stop acting on the flow [Rotta, 1951; Lumley and Newman, 1977; Lumley, 1978; Sarkar 220 and Speziale, 1990; Choi and Lumley, 2001]. Based on Lumley's work [Lumley and New-221 man, 1977; Lumley, 1978], it is possible to reduce the original three dimensional prob-222 lem characterized by six independent terms (normalized deviatoric anisotropy stress ten-223 sor) into a simpler problem with two degrees of freedom, based on the so-called anisotropy 224 invariants,  $\eta$  and  $\xi$  [Pope, 2000] that are also functions of the eigenvalues ( $\lambda_i$ , i = 1, 2, 3) 225 of the anisotropy stress tensor. The first invariant  $(\eta)$  is positive definite and provides 226 a measure of the degree of anisotropy in the flow field (large values indicating intense 227 anisotropy, and small values indicating near-isotropic behavior). The second invariant 228  $(\xi)$  can be positive or negative, indicating that the flow is dominated by one-component 229 turbulence when positive, and by two-component turbulence when negative. These in-230 variants can be mathematically determined from the normalized deviatoric anisotropy 231 stress tensor as [Pope, 2000]232

$$6\eta^2 = b_{ij}b_{ji} \qquad \text{and} \qquad 6\xi^3 = b_{ij}b_{jk}b_{ki}. \tag{3}$$

As a result, it is possible to represent any realizable state of turbulence on a single two-dimensional non-linear map, the so-called Lumley Triangle (LT, Lumley [1978]; *Pope* [2000]). Here, instead, we use a modification of the original LT, the Barycentric Lumley Triangle (BLT, *Banerjee et al.* [2007], see Figure 2 and Table 2), that overcomes the complexity associated with the non-linearity of the LT by equally weighing the different limiting states of turbulence anisotropy. The corresponding coordinates  $(x_B, y_B)$ 

#### Table 2. Summary of the special states of the Reynolds-stress tensor in terms of the invariants ( $\eta$ ,

 $\xi$ ), and the eigenvalues of the anisotropy stress tensor as described by the Lumley Triangle. The fourth

column introduces the corresponding ellipsoid shape described by the eigenvectors [*Pope*, 2000].

Cases	Invariants	Eigenvalues	Shape ellipsoid
Isotropic	$\eta = \xi = 0$	$\lambda_1 = \lambda_2 = \lambda_3 = 0$	Sphere
Two-component axisymmetric	$\eta = \frac{1}{6}, \xi = -\frac{1}{6}$	$\lambda_1 = \lambda_2 = \frac{1}{6}$	Disk
One-component	$\eta = \xi = \frac{1}{3}$	$\lambda_1 = \frac{2}{3}, \lambda_2 = \lambda_3 = -\frac{1}{3}$	Line
Axisymmetric, one large eigen- value	$\eta = \xi$	$-\frac{1}{3} \le \lambda_1 = \lambda_2 \le 0$	Prolate Spheroid
Axisymmetric, one small eigen- value	$\eta = -\xi$	$0 \le \lambda_1 = \lambda_2 \le \frac{1}{6}$	Oblate Spheroid
Two-component	$\eta = (\frac{1}{27} + 2\xi^3)^{1/2}$	$\lambda_1 + \lambda_2 = \frac{1}{3}$	Ellipse

#### of this linearized 2D map are related to the eigenvalues as

$$x_B = C_{1c}x_{1c} + C_{2c}x_{2c} + C_{3c}x_{3c} = C_{1c} + C_{3c}\frac{1}{2}, \qquad (4$$

$$y_B = C_{1c}y_{1c} + C_{2c}y_{2c} + C_{3c}y_{3c} = C_{3c}\frac{\sqrt{3}}{2}, \tag{5}$$

with the corresponding weights  $(C_{ic})$  written as  $C_{1c} = \lambda_1 - \lambda_2$ ,  $C_{2c} = 2(\lambda_2 - \lambda_3)$ , and  $C_{3c} = 3\lambda_3 + 1$ , with  $x_{1C} = (1,0)$ ,  $x_{2C} = (0,0)$ , and  $x_{3C} = (1/2, \sqrt{3}/2)$  indicating the limiting states of turbulence anisotropy in the BLT. Both invariant maps are equivalent given the existing relationship between the anisotropy invariants ( $\eta$  and  $\xi$ ) and the eigenvalues of the normalized anisotropy tensor ( $\lambda_i$ , Spencer [1971]),

$$\eta^2 = \frac{1}{2}(\lambda_1^2 + \lambda_1\lambda_2 + \lambda_2^2) \tag{6}$$

$$\xi^3 = -\frac{1}{2}\lambda_1\lambda_2(\lambda_1 + \lambda_2). \tag{7}$$

Finally, it is important to reiterate that the shape associated with the limiting states of anisotropy refers to the representation in eigenvalue space and not to the physical shape of turbulence itself [*Simonsen and Krogstad*, 2005]. More detail on the analysis of turbulence anisotropy can be found in [*Stiperski and Calaf*, 2018]

#### 2.4 Scaling

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Following Stiperski and Calaf [2018] we examine the influence of turbulence anisotropy 256 on near-surface similarity within the local scaling framework [cf. Nieuwstadt, 1984a,b]. 257 Turbulent quantities are therefore scaled with the fluxes obtained at the corresponding 258 measurement height z. The local Obukhov length  $\Lambda$  is defined as  $\Lambda = \frac{-u_*^3 \theta_v}{\kappa g w' \theta'}$ , where  $\theta_v$  is the mean virtual potential temperature,  $\kappa$  the von Karman constant, equal to 0.4,  $u_*$  the local friction velocity computed as  $u_* = (\overline{u'w'}^2 + \overline{v'w'}^2)^{\frac{1}{4}}$  and  $\overline{w'\theta'}$  is the local heat flux. The quantity  $(x_* - d)/\Lambda$  where  $u_*$  is the local friction velocity computed as  $u_* = (\overline{u'w'}^2 + \overline{v'w'}^2)^{\frac{1}{4}}$  and  $\overline{w'\theta'}$  is the local heat flux. 259 260 261 heat flux. The quantity  $(z - d)/\Lambda$ , where d is the displacement height, represents the 262 local stability. We also define the local temperature scale as  $\theta_* = -\frac{\overline{w'\theta'}}{u}$ . The follow-263 ing functional forms of the surface-layer flux-variance similarity relationships are used 264 for reference: for the standard deviations of velocity components  $(\Phi_u, \Phi_v, \Phi_w)$ , following 265 Panofsky and Dutton [1984], 266

$$\Phi_w = \frac{\sigma_w}{u_*} = \begin{cases} 1.25(1+3\frac{z}{\Lambda})^{\frac{1}{3}} & \text{for } \frac{z}{\Lambda} > 0\\ 1.25(1-3\frac{z}{\Lambda})^{\frac{1}{3}} & \text{for } \frac{z}{\Lambda} < 0 \end{cases}$$
(8)

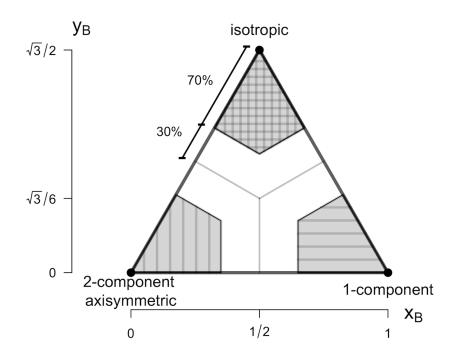


Figure 2. Barycentric Lumley Triangle as a function of the anisotropy stress tensor eigenvalues and represented through the linearized coordinates  $x_B$  and  $y_B$ . The shading indicates regions of the triangle that were selected as *pure* limiting states of anisotropy.

$$\Phi_{u,v} = \frac{\sigma_{u,v}}{u_*} = \begin{cases} 2.55(1+3\frac{z}{\Lambda})^{\frac{1}{3}} \text{ for } \frac{z}{\Lambda} > 0\\ 2.55(1-3\frac{z}{\Lambda})^{\frac{1}{3}} \text{ for } \frac{z}{\Lambda} < 0 \end{cases}$$
(9)

for temperature standard deviation  $(\Phi_{\theta})$ , taking the reference curve from *Sfyri et al.* [2018],

$$\Phi_{\theta} = \frac{\sigma_{\theta}}{\theta_{*}} = \begin{cases} 2 + 6.7 \cdot 10^{-4} \frac{z}{\Lambda}^{-1.42} \text{ for } \frac{z}{\Lambda} > 0\\ 1.67 - 0.016(\frac{z}{\Lambda})^{1} \text{ for } -0.05 < \frac{z}{\Lambda} < 0\\ 1.95(0.05 - \frac{z}{\Lambda})^{\frac{1}{3}} \text{ for } \frac{z}{\Lambda} < -0.05 \end{cases}$$
(10)

and for turbulence dissipation rate  $(\Phi_{\varepsilon})$ , following *Thiermann* [1990],

$$\Phi_{\varepsilon} = \frac{kz\varepsilon}{u_*^3} = \begin{cases} (1+4\frac{z}{\Lambda}+16(\frac{z}{\Lambda})^2)^{\frac{1}{2}} \text{ for } \frac{z}{\Lambda} > 0\\ (1-3\frac{z}{\Lambda})^{-1} - \frac{z}{\Lambda} \text{ for } \frac{z}{\Lambda} < 0 \end{cases}$$
(11)

- In the stable z-less limit *Sorbjan* [1987] suggested the following constant values of the
- 270 flux-variance relationships

$$\Phi_w = 1.6, \quad \Phi_{u,v} = 3.1. \tag{12}$$

A number of quantitative measures are used to determine the amount of scatter 271 between the datasets and the agreement with the reference scaling curves. Absolute de-272 viations  $\Delta \Phi_y = |y - \Phi_y|$ , where  $y = u, v, w, \theta, \varepsilon$ , are calculated as the absolute dis-273 tance between the scaled data y and the corresponding reference scaling curves (Eq. 8 274 - 11). For stable conditions the z-less limit (Eq. 12) is used for the velocity components. 275 For data separated according to anisotropy, the distance to the closest scaling line is em-276 ployed. This implies that for standard deviations of horizontal velocity components un-277 der isotropic conditions, the reference curve used in the calculation is  $\Phi_w$ , and not  $\Phi_{u,v}$ 278 [cf. Stiperski and Calaf, 2018]. The median of the absolute deviations (MAD) is used 279

to quantify the mean disagreement of each dataset with the respective scaling as well as 280 to quantify the complexity of the dataset. While the absolute value of MAD already pro-281 vides an indicator of the goodness of scaling, it is sensitive to the choice of the scaling 282 curve and therefore might lead to large values if the choice is inadequate. Therefore, as 283 a best indicator of the improvement in scaling, *i.e.* decreased variability in MAD between 284 the different datasets, we use the inter-quartile range (IQR). It is calculated from the 285 twelve MADs for each scaling variable and stability as the difference between the 75 and 286 25 percentile. This measure provides information on the discrepancies between the dif-287 ferent datasets and the scaling curves and in that sense quantifies how 'location depen-288 *dent*' the scaling for different datasets is, and how much improvement is brought about 289 by the new approach of *Stiperski and Calaf* [2018]. 290

#### 298 **3 Results**

The scaled standard deviations of high-quality stationary data for each of the twelve datasets are shown in Figure 3 in comparison with the traditional similarity relations from horizontally homogeneous and flat terrain (Eq. 8 - 12). For visualization purposes the data from each dataset are binned and the median of each bin is displayed. The spread of the data is shown as the shading and corresponds to the inter-quartile range of each bin. The absolute deviations between the data and the reference scaling curves as well as inter-dataset spread are shown in Figure 4.

The results show large scatter both within each individual dataset (large shaded 306 area in Figure 3) as well as between the different datasets (large IQR values in Figure 307 4), confirming the 'location-dependent' nature of scaling in complex terrain. The large 308 scatter within each dataset is particularly clear for horizontal velocity components  $\Phi_{u,v}$ 309 in the very unstable region (cf. Figure 3a & b), to the point that the similarity relations 310 can be deemed meaningless in that case. The same is true for the near-neutral regions 311 for scaled temperature  $\Phi_{\theta}$  and less so for the scaled TKE dissipation rate  $\Phi_{\varepsilon}$ . Notably, 312 the vertical velocity variance exhibits good scaling behavior throughout, regardless of 313 the dataset. This is particularly interesting given the large disparity of datasets used in 314 this work, representative of very different terrain and flow complexities as well as ver-315 tical coordinates, which over flat terrain represent the vertical and in complex terrain 316 the slope-normal direction. In the stable regime in general, the data scatter suggests a 317 better collapse to a scaling curve (smaller IQR values in Figure 4 than for unstable data), 318 particularly for weakly stable conditions of the velocity components  $\Phi_{u,v,w}$ . It is inter-319 esting to note, however, that the scaled standard deviation of streamwise velocity  $\Phi_u$  seems 320 to suggest an ordering according to the datasets in the near-neutral regime, with some 321 datasets showing a higher neutral limit than others, but all exhibiting a value lower than 322 the HHF relation. The deviations from the reference scaling curves Eq. 8-9 in the very 323 stable regime are quite substantial, indicative of, though not confirming, z-less scaling, 324 This general behavior is slightly different for  $\Phi_{\theta}$ , where large scatter, mirroring that of 325 the very unstable region of horizontal velocities, is present under weak stability, while 326 data seem to scale better in the strongly stable regime. 327

In a first approximation, one may expect the results in Figure 4 to show an increas-332 ing deviation from the traditional scaling relations with increasing 'complexity' of the 333 underlying terrain where the data were measured (see the subjective ordering of the datasets 334 in Table 1 and the associated colors). Therefore, it could be expected that the smallest 335 discrepancies are found for the most ideal sites such as CASES-99 (CA), Cabauw (CB), 336 T-RexC and i-Box0 stations, and the biggest for data measured in stations located in 337 very inclined terrain, such is i-Box10 and i-Box27 or i-BoxTop. Figure 4, however, shows 338 that this is not the case and there is little to no correlation between the deviation from 339 traditional scaling and the a-priori ordering of the datasets that was based solely on the 340 slope angle-induced complexity. In fact, it can be observed that the discrepancies in scal-341 ing are generally the largest for CASES-99 and METCRAX II (Figure 4 a–c), despite 342

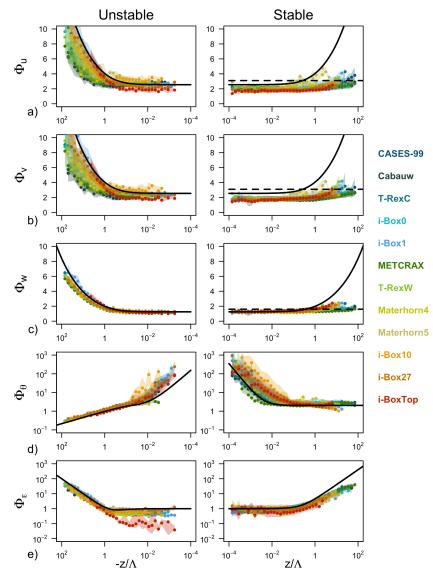


Figure 3. Scaling relations of the standard deviation of a) streamwise velocity  $(\Phi_u)$ , b) spanwise velocity  $(\Phi_v)$ , c) surface-normal velocity  $(\Phi_w)$ , d) temperature  $(\Phi_\theta)$  and d) TKE dissipation rate  $(\Phi_\varepsilon)$  as a function of the local stability  $z/\Lambda$  for unstable (left) and stable (right) stratification. Colors represent different datasets described in Table 1. Points represent medians calculated over the bins of logarithmicallyspaced  $z/\Lambda$ , while the shading corresponds to the inter-quartile range. The full black lines correspond to the traditional scaling relations (Eq. 8 – 11) and dashed lines to the z-less scaling for each variable (Eq. 12).

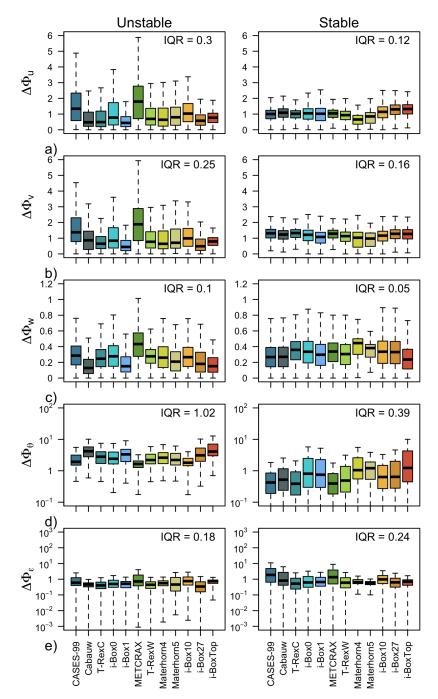
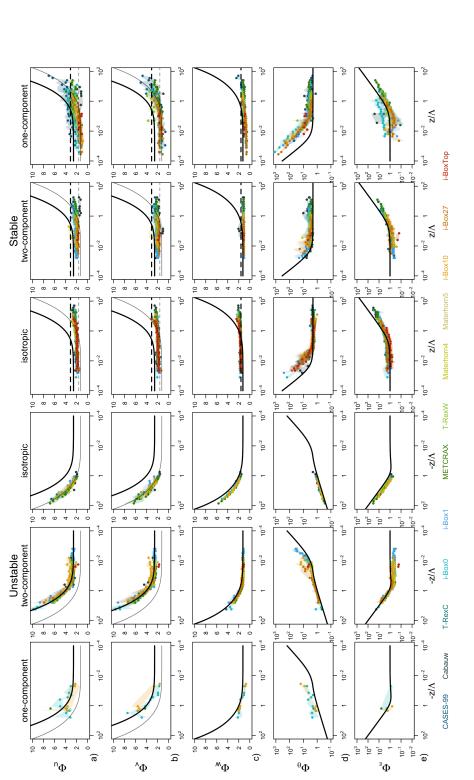
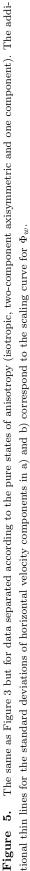


Figure 4. Box plots of absolute deviations a) – e)  $\Delta \Phi_y$  where  $y = u, v, w, \theta$  and  $\varepsilon$ , respectively, of the scaled data from the corresponding scaling relations (Eq. 8 – 12): as a function of the dataset (color), for unstable (left column) and stable (right column) stratification. Note the different vertical axes for each variable. The value of the inter-quartile range (IQR) is listed in each panel.





these being some of the most a-priori ideal locations (see Table 1). This mismatch with ordering of the datasets is present in all scaling variables, and particularly in unstable but also in stable stratification, suggesting that our simple classification of datasets based on slope angle is not corresponding to the true nature of their complexity.

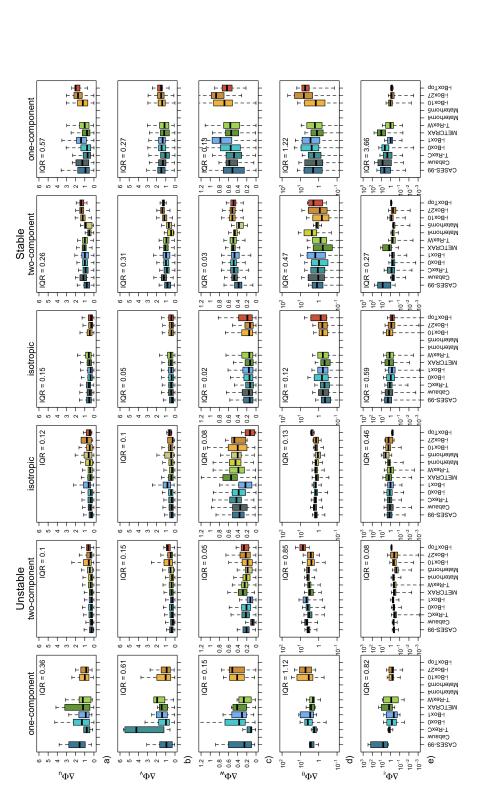
Following the approach developed in *Stiperski and Calaf* [2018], next we separate 347 the data according to the three limiting states of anisotropy: isotropic, two-component 348 axisymmetric and one-component turbulence, before revisiting the scaling relations (Fig-349 ure 5). Figure 6 shows the MAD and IQR as objective measures of the improvement of 350 351 the scaling. Mirroring the results for flat terrain (CASES-99 in *Stiperski and Calaf* [2018]), accounting for turbulence topology drastically improves scaling (*i.e.*, decreases MAD and 352 IQR) for all datasets regardless of the complexity induced by terrain and local weather 353 conditions. For all variables, the most consistent reduction in IQR and overall the best 354 scaling behavior is apparent for isotropic turbulence both under unstable and stable strat-355 ification, and for unstable two-component axisymmetric turbulence (Figure 6). Compared 356 to Figure 4, the largest improvement is obtained for the horizontal velocity components 357 under unstable stratification with MAD and IQR reduced by up to 60%. As already found 358 for CASES-99 by Stiperski and Calaf [2018], isotropic and two-component axisymmet-359 ric turbulence for horizontal velocity variances follow two distinctly different scaling lines. 360 We come to the same conclusion as *Stiperski and Calaf* [2018] that this clustering of the 361 data to two different scaling curves is the leading cause of the large scatter illustrated 362 in Figure 3 a&b and Figure 4 a&b in the very unstable region. It is interesting to note 363 that for all datasets, irrespective of complexity, isotropic turbulence occupies the same 364 region of  $z/\Lambda$  as for flat terrain (*i.e.*  $z/\Lambda < -1$ ). This is a clear sign of TKE produc-365 tion in isotropic turbulence being thermally dominated [Stiperski and Calaf, 2018] ir-366 respective of terrain complexity. On the other hand, in complex terrain, two-component 367 axisymmetric turbulence is found also in very unstable conditions, contrary to the re-368 sults over flat terrain (CASES-99 in Stiperski and Calaf [2018]). The small amount of 369 data points corresponding to unstable one-component turbulence does not allow us to 370 reach definite conclusions about similarity of this type of turbulence. The results do seem 371 to suggest a larger degree of scatter and therefore a lack of proper scaling. 372

In the stable regime, the scaled standard deviations of all velocity components fol-373 low the z-less scaling up to  $z/\Lambda \approx 1$  whereupon the data start to deviate from their con-374 stant value. This is opposite to the general expectations for z-less scaling and might be 375 an indication of self-correlation [Klipp and Mahrt, 2004]. The least scatter between the 376 datasets (smallest IQR) is observed for isotropic turbulence. This is true even for the 377 standard deviation of temperature, but only over a very limited range of stability. The 378 near-neutrally stable region of  $\Phi_{\theta}$  shows large scatter, which is likely another indication 379 of self-correlation as found by Sfyri et al. [2018]. In addition, the negative slope of the 380  $\Phi_{\theta}$  scaling only appears at larger stability for both isotropic and one-component turbu-381 lence than suggested by the HHF curve. This *shifted* linear decrease in the relation be-382 tween temperature variability and heat flux explains the large  $\Delta \Phi_{\theta}$  (Figure 4d), i.e., the 383 deviation of the datasets from the scaling relations. The two-component axisymmetric 384 and one component turbulence show progressively more scatter (larger IQR) compared 385 to isotropic turbulence but also a reduction of the value of the scaled standard devia-386 tions of velocity components  $(\Phi_{u,v,w})$  and TKE dissipation rate  $(\Phi_{\varepsilon})$ , and an increase 387 in the scaled standard deviation of temperature  $(\Phi_{\theta})$ . This suggests that, at least for sta-388 ble stratification, i.e. when mechanically produced turbulence is being damped by neg-389 ative buoyancy, anisotropic turbulence tends to have a larger temperature variance but 390 smaller velocity variances in relation to the respective fluxes. One component turbulence 391 is again the turbulence topology that exhibits most scatter, particularly for  $\Phi_u$  and  $\Phi_{\varepsilon}$ . 392 It is particularly interesting to note that the station with the most complex conditions 393 (iBoxTop) shows largest deviations from scaling for unstable stratification, but on the 394 other hand does not exhibit systematic deviations for stable stratification but rather falls 395 within those of the other datasets. 396

These results confirm our initial hypothesis that anisotropy is the key variable missing from scaling relations. Indeed, anisotropy seems to provide a direction towards a unifying framework for turbulence in conditions where the assumptions of Monin-Obukhov Similarity Theory are generally violated, such as complex terrain or other sources of complex weather patterns that might affect the local flow.

Because separating the data according to anisotropy does significantly improve scal-402 ing, we first attempt to explain the large scatter between and within the original datasets 403 observed in Figures 3 and 4 by focusing on the frequency of occurrence of a given pure 404 state of anisotropy. This is done to examine whether local topographic dissimilarities be-405 tween the locations where the datasets were taken, cause different types of turbulence 406 topologies to occur more or less frequently and thus converge towards different scaling 407 curves leading to large scatter if examined together. Figure 7 shows the number of av-408 eraging periods for each pure turbulence state n (separated according to anisotropy and 409 stratification) divided by the total number of averaging periods that are unstable or sta-410 ble  $n_{tot}$ . One can first note that turbulence states classified within the '*purely*' isotropic, 411 two- and one-component regimes (cf. Figure 2) only represent a small fraction of the over-412 all turbulence states. On average, the pure states of anisotropy jointly occur less than 413 40% of the time for unstable stratification and less than 10% for stable stratification. This 414 means that only a smaller part of the data originally shown in Figure 3 fulfills the more 415 restrictive criterion for the pure states of anisotropy (cf. Figure 5). However, it also il-416 lustrates that the more pure states of anisotropy are those that have a stronger impact 417 on similarity scaling, attracting the data towards different scaling curves as seen in Fig-418 ure 5. For example, both CASES-99 and METCRAX II have the largest proportion of 419 isotropic turbulence, which accounts for the largest scatter in scaled horizontal veloc-420 ities in Figures 3 and 4 as mentioned above. Stiperski and Calaf [2018] already showed 421 that unstable isotropic turbulence occurs mostly under conditions of free convection away 422 from the surface, which coincides with the fact that all stations with prevailing isotropic 423 turbulence are indeed located in areas that can be expected to frequently experience con-424 ditions supportive of free convection and generally have taller towers (flat terrain and 425 more desert-like location, e.g. CASES-99, METCRAX II, MATERHORN, i-Box0). On 426 the contrary, in complex terrain and close to the surface, isotropic turbulence hardly ever 427 occurs (e.g., i-Box27). The reason for this is the fact that in complex terrain thermally 428 driven flows, characterized by strong horizontal and vertical wind shear [cf. Goger et al., 429 2018], develop in conditions that in flat terrain would support free convection (*i.e.*, weak 430 shear). Given that different datasets were not only measured over different surfaces but 431 also in different weather conditions we cannot isolate the influence of terrain on the fre-432 quency of pure anisotropic states by examining all the datasets together. Therefore, we 433 focus next only on data from datasets obtained from multiple towers in close proxim-434 ity to each other and therefore experiencing similar weather conditions (e.g. T-Rex, MATER-435 HORN, i-Box). For example, T-Rex Central and West tower appear to have almost iden-436 tical percentages of pure states, thus suggesting that the slope angle does not play a ma-437 jor role on the anisotropy type there, at least not in unstable conditions. In stable con-438 ditions, the West tower on the slope has a marginally higher prevalence of isotropic data 439 than the Central tower on the valley floor, suggestive of more developed turbulence there. 440 Interesting are also the two MATERHORN towers both experiencing katabatic winds 441 during nighttime [cf. Grachev et al., 2016], however MT4 has a larger incidence of pure 442 states than the MT5 tower, possibly due to its location in a less constrained topographic 443 surrounding (open slope). For i-Box sites, the frequency of unstable two-component ax-444 isymmetric turbulence (stable isotropic turbulence) appears to decrease (increase) with 445 increasing terrain complexity. 446

Still, even this classification approach fails to identify patterns that connect anisotropy
and turbulence complexity. The available methodology consequently appears to be inadequate to correctly describe the complexity of turbulence caused by both the terrain
complexity (slope angle, heterogeneity, land use) and complexity of the flow conditions.





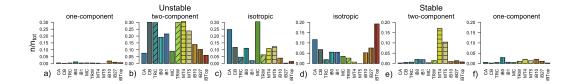


Figure 7. Frequency of occurrence  $(n/n_{tot})$  of pure states of anisotropy (one-component, twocomponent axisymmetric and isotropic) for a)-c) unstable and d) – f) stable stratification. Here *n* is the number of averaging periods that are unstable/stable and at the same time belong to one of the pure anisotropy states, while  $n_{tot}$  is the total number of periods that are unstable/stable.

Therefore we propose instead to use the deviation from traditional similarity scaling re-451 lations (MAD) as a measure of complexity of a given dataset. The encouraging results 452 presented above make us confident that anisotropy is the dominant process causing the 453 departure from scaling for unstable stratification and that including information on it 454 would improve scaling relations. We therefore hypothesize that these deviations from scal-455 ing exist due to the fact that scaling relations are estimated in the physical, streamwise 456 Cartesian coordinate system, whereas anisotropy is defined in the eigenvector reference 457 frame. Similarly, *Klipp* [2018] suggested calculating the friction velocity in the eigenvec-458 tor space as a means of improving scaling relations. Here, we apply a different approach 459 and instead use anisotropy as one of the explanatory variables that causes deviations from 460 scaling curves. For stable stratification where anisotropy fails to improve scaling for two-461 and one-component turbulence, we identify other physical mechanisms that could be re-462 sponsible for the existence of complexity. We believe, that such an objective measure of 463 complexity will not only allow better comparison between datasets but it can provide 464 a pathway for developing new universal scaling relations. 465

## 470 4 Quantifying Complexity

We now take a step back and instead of focusing only on the pure states of anisotropy, 475 we look at all the turbulence states (including mixed states) of anisotropy together. Fig-476 ure 8 shows where the centre of mass in the BLT resides for each dataset. The size of 477 the colored triangle represents the spread of the data and is calculated as the 75th per-478 centile in x and y direction. The centres of mass show that, for unstable stratification, 479 data are mostly centered between the isotropic and two-component axisymmetric states, 480 whereas for stable conditions they are more evenly spread between the two- and one-component 481 states but generally closer to the isotropic limit. The same as with frequency of occur-482 rence of pure states, the information on the centre of mass does not provide a conclu-483 sive information on the causes of turbulence complexity. 484

Complexity in the atmospheric boundary layer can be caused by a number of pro-485 cesses acting on a range of scales. While we use the departure from the scaling curve as 486 a measure of complexity, the causes of this departure have to be identified manually from 487 a number of possible processes known to be relevant in complex terrain [cf. Serafin et al., 488 2018]. These include (but are not limited to) the influence of terrain, where the easiest 489 measure of terrain influence is the slope angle  $\alpha$ . Although in the analysis so far, slope 490 angle did not show a systematic influence on scaling, the inclination of terrain can still 491 act indirectly and this influence is therefore examined. Heterogeneity, although a signif-492 icant source of complexity due to the formation of internal boundary layers as well as 493 secondary circulations, is hard to quantify from experimental data and is therefore not 494 examined here. Secondly, given the success of anisotropy in improving scaling in the results of the previous section, we examine anisotropy itself as a dominant variable influ-496 encing complexity. We use the coordinates of the BLT as scalar measures of anisotropy 497 that encompases all types of anisotropy (cf. Figure 8). Here  $y_B$  represents the shortest 498

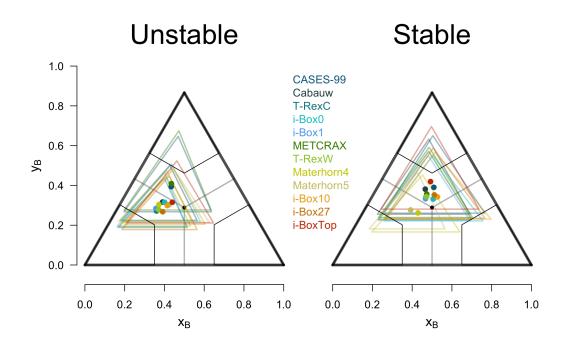


Figure 8. Barycentric Lumley Triangle showing the centre of mass for all the data points
within the triangle for each dataset and stability. Different datasets are shown in color. Colored
triangles represent the amount of spread of the data (calculated from the 75th percentile, and are
calculated around the centre of mass.

distance to pure isotropy similarly to what was used in [Brugger et al., 2018], while  $x_B$ 499 shows where in between two-component axisymmetric and one-component state the tur-500 bulence is situated. Two mesoscale processes are ubiquitous in complex terrain: thermally-501 driven flows (up/down-valley, up/down-slope) and shallow water effects such as gravity 502 waves. Thermally-driven flows are characterized by significant wind turning with height 503 [Rotach et al., 2008]. The impact of this directional shear can be measured through the 504 angle between the streamwise  $\overline{u'w'}$  and spanwise  $\overline{v'w'}$  momentum flux components, de-505 fined as 506

$$\alpha_{vw} = tan^{-1} \left(\frac{\overline{v'w'}}{\overline{u'w'}}\right). \tag{13}$$

If there is no directional shear,  $\overline{v'w'} = 0$ , then  $\alpha_{vw}$  will also be zero as all the turbu-507 lence exchange of momentum will occur along the streamwise direction (recall that the 508 double rotation orientates the coordinate system into the direction of the mean wind speed). 509 The effect of wind turning on turbulence is therefore indirect, since it does not depend 510 on driving parameters at the level where the momentum flux is measured but at heights 511 below and above. This measure is convenient since it provides information on wind turn-512 ing even if measurements are available only at one measurement level. Shallow water modes, 513 such as gravity waves, may also affect turbulence [Sun et al., 2015] and can be quanti-514 fied through the Froude number 515

$$F_r = \frac{U}{\sqrt{gH}},\tag{14}$$

where H is the layer depth. Given that we have no way of determining the depth scale H from the available measurements, it has to be parameterized. For this purpose we use

a modified boundary layer height following *Zilitinkevich et al.* [2012],

$$H = \frac{\overline{w'w'}}{\sqrt{|f\overline{w\theta}g/\theta|}},\tag{15}$$

	Unstable	Stable			
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$		$     \frac{\Delta \Phi_{u}}{\Delta \Phi_{v}}   $ $     \frac{\Delta \Phi_{w}}{\Delta \Phi_{\theta}}   $ $     \frac{\Delta \Phi_{e}}{\Delta \Phi_{e}}   $ $     b)   $		↓       Tru <sup>11</sup> ↓       1         ↓       1         ↓       0.6         ↓       0.2         ↓       0.2         ↓       0.2         ↓       0.2         ↓       0.4         ↓       0.2         ↓       0.2         ↓       0.2         ↓       0.2         ↓       0.2         ↓       0.2         ↓       0.2         ↓       0.2         ↓       0.2         ↓       0.2         ↓       0.2         ↓       0.2         ↓       0.2         ↓       0.2         ↓       0.2         ↓       0.2         ↓       0.2         ↓       0.3         ↓       0.4         ↓       0.8         ↓       1	
Vars	Linear	Multilinear⊟ Regression	Vars	Linear⊡ Regression	Multilinear□ Regression
$\overline{\Delta \Phi_{u}}$	$\bm{y_B}(0.79), \bm{x_B}(0.54), \epsilon_{\bm{vu}}(0.5)$	$\mathbf{y}_{\mathbf{B}} + \epsilon_{\mathbf{vu}}(0.91)$	$\overline{\Delta \Phi_u}$	$\alpha_{vvw}(0.74), y_B(0.57), x_B(0.405), \alpha(0.39)$	$\alpha_{vw}$ + $y_B(0.87)$
$\overline{\Delta \Phi_\nu}$	$\bm{y_B}(0.8), \bm{x_B}(0.45), \epsilon_{\nu u}(0.45)$	<b>y</b> <sub>B</sub> (0.8)	$\overline{\Delta \Phi_{v}}$	<b>y</b> <sub>B</sub> (0.62), <b>x</b> <sub>B</sub> (0.45), <i>F</i> <sub>r</sub> (0.2)	<b>y</b> <sub>B</sub> (0.62)
$\overline{\Delta \Phi_w}$	$\epsilon_{vu}(0.53), y_B(0.31)$	ε <sub>νu</sub> (0.53)	$\overline{\Delta \Phi_w}$	$\mathbf{y_B}(0.81), \mathbf{F_r}(0.41), \alpha_{vw}(0.3)$	<b>y</b> <sub>B</sub> (0.81)
$\overline{\Delta \Phi_\theta}$	$\epsilon_{vu}(0.66), y_B(0.1)$	ε <sub>νu</sub> (0.66)	$\overline{\Delta \Phi_\theta}$	$F_r(0.19), x_B(0.18), \varepsilon_{wu}(0.18)$	$\mathbf{F_r} + \epsilon_{wu}(0.53)$
$\overline{\Delta \Phi_\epsilon}$	$\bm{x_{B}}(0.43), \bm{y_{B}}(0.35), \epsilon_{wu}(0.32)$	$\mathbf{y}_{\mathbf{B}} + \epsilon_{\mathbf{wu}}(0.56)$	$\overline{\Delta \Phi_{\epsilon}}$	$F_r(0.31), x_B(0.18), \varepsilon_{vu}(0.17)$	$\mathbf{y}_{\mathbf{B}} + \varepsilon_{\mathbf{vu}}(0.52)$
c)			d)		

Figure 9. a) - b) Correlation matrix between the observed deviations from scaling 527  $(\overline{\Delta \Phi_y}, y) = u, v, w, \theta, \varepsilon)$  and the relevant variables representing physical processes for a) unsta-528 ble and b) stable stratification. The correlation coefficients are shown in color and shape so that 529 perfectly circular shape means zero correlation and perfect line has correlation coefficient equal 530 to 1. Crosses signify correlations that are not statistically significant at a 5 % level. c) - d) List 531 of statistically significant (p< 0.05) variables and their respective  $R^2$  values from the linear 532 and multilinear regression with  $\overline{\Delta \Phi_y}, y = u, v, w, \theta, \varepsilon$  for c) unstable and d) stable stratification. 533 Variables that are statistically significant are shown in **bold**. 534

where f is the Coriolis parameter, and we use the vertical velocity variance instead of friction velocity, following *Monti et al.* [2002].

Finally, the influence of the smaller-scale anisotropy *i.e.* anisotropy in the inertial subrange [cf. *Katul et al.*, 1995; *Toschi et al.*, 2000; *Poggi et al.*, 2003], can be estimated through the ratio of turbulence dissipation rates

$$\varepsilon_{vu} = \varepsilon_v / \varepsilon_u, \varepsilon_{wu} = \varepsilon_w / \varepsilon_u. \tag{16}$$

Here  $\varepsilon_i$  is the dissipation rate as determined from the spectral density in the inertial subrange of the velocity component *i*. *Babić and Rotach* [2018] have shown that over heterogeneous surfaces these ratios, particularly  $\varepsilon_{wu}$ , can deviate strongly from one.

In order to identify which of these processes are relevant in complex terrain and 538 therefore causing largest departures from scaling we first individually employ the linear 539 regression approach to determine the correlation between the departure from scaling  $\overline{\Delta \Phi_u}, y =$ 540  $u, v, w, \theta, \varepsilon$  and the corresponding predictor variables  $(x_B, y_B, \alpha, \alpha_{vw}, F_r, \varepsilon_{vu}, \varepsilon_{wu})$ . The 541 correlation coefficients are shown in Figure 9 for unstable and stable stratification. Sec-542 ondly, a multilnear regression with the relevant variables was performed to estimate the 543 joint influence of these processes on the departure from scaling. How many and which 544 variables were chosen for the multilinear regression was determined in a step-wise man-545 ner. From the step-wise procedure we choose as final the combination of statistically sig-546 nificant variables (p < 0.05) with the largest  $R^2$  and smallest Bayesian Information Cri-547 terion (BIC; Wilks [2011]). The variables in linear and multilinear regression are shown 548

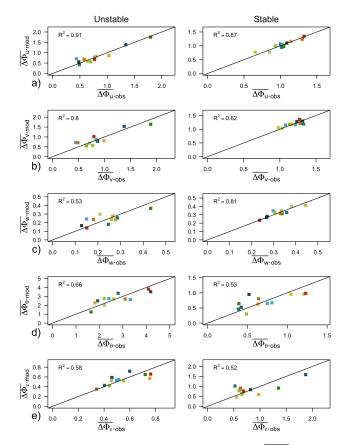


Figure 10. Comparison of observed deviations from scaling  $(\overline{\Delta \Phi_y}_{obs})$  and those modelled by multilinear regression  $(\overline{\Delta \Phi_y}_{mod})$  using variables from Figure 9c & d (Multilinear regression). Here  $y = u, v, w, \theta, \varepsilon$ .

in Figure 9 c&d, and the observed departures from scaling and the ones predicted by mul tilinear regression are shown in Figure 10. The largest limitation of this approach is of
 course the assumption of a linear relationship between predictors and the explanatory
 variable.

The results of both linear and multilinear regression (Figure 9) show that  $y_B$  and 553 therefore the shortest distance to isotropic state is truly one of the most important ex-554 planatory variables both in unstable and stable stratification, confirming the results of 555 the previous section. Indeed,  $y_B$  can explain up to 80% of variability between the com-556 557 plex terrain scaling relations for certain variables and stability ranges. The correlation with  $y_B$  is positive indicating that the increase of complexity coincides with the depar-558 ture from isotropic conditions. In the unstable regime the other important process in-559 fluencing complexity is the small-scale turbulence anisotropy shown through  $\varepsilon_{vu}$ . Although 560 the value of this ratio is within the 20% margin of one and therefore could be consid-561 ered almost constant (not shown), the individual values show a negative correlation with 562 increasing complexity (apart from scaled temperature), indicating interestingly that the 563 turbulence with a higher degree of horizontal small-scale isotropy is found in more complex conditions. These results are also contrary to Babić and Rotach [2018] where  $\varepsilon_{wu}$ 565 diverges more from one. In the stable regime where anisotropy was successful in improv-566 ing scaling only in isotropic conditions, the mesoscale processes appear to be more im-567 portant than in the unstable regime. Therefore, wind turning with height  $(\alpha_{vw})$  and to 568 a lesser degree the Froude number  $F_r$  appear to additionally explain an important part 569 of the observed complexity. 570

The clear connection between  $\Delta \Phi_{u,v}$  and  $y_B$  comes as no surprise given previous 571 evidence that isotropic and two-component turbulence occupy different scaling curves, 572 so that the distance to isotropy clearly delineates stations that have different percent-573 ages of these pure states and therefore cluster around them. On the other hand, in sta-574 ble stratification, it is the deviation of vertical velocity variance  $\Delta \Phi_w$  that (anti)correlates 575 best with  $y_B$  suggesting that unlike in unstable stratification, it is in the vertical veloc-576 ity component that anisotropy shows largest differences. This is intuitive given that as 577 stratification increases, the vertical velocity variance decreases from isotropic towards 578 one component with a progressively lower neutral limit, as observed in Figure 5. The im-579 portance of distinguishing between the very anisotropic states (two and one-component, 580 *i.e.*,  $x_B$ ) is also clearly identified as important for stable stratification, where one-component 581 turbulence occurs more frequently. The existence of wind turning with height appears 582 to have the largest influence on  $\Delta \Phi_u$  in stable conditions, whereas scalar variances ap-583 pear to be most affected by gravity waves. The fact that the results are dependent on 584 the ratio of the dissipation rates points to the scale-dependence of anisotropy and the 585 persistence of anisotropy to very small scales. Toschi et al. [2000] have shown that this 586 might be due to the effect of wind shear persisting across all scales. 587

Figure 9 shows that the multinear combination of the above identified processes explains the majority of the variance for the standard deviation of velocity components, and to a lesser degree of the scalar variances (temperature and TKE dissipation rate) indicating the dissimilarity between the momentum and scalars *Brutsaert* [1982], but also pointing towards missing processes that have either not been identified by our limited list or are non-linear and therefore are not detected by the linear method.

## 594 5 Discussion and conclusions

The results of the previous sections have highlighted the importance of anisotropy in shaping the scaling relations, and have therefore shown that the approach of *Stiperski and Calaf* [2018] accounting for anisotropy, significantly improves scaling even over highly complex terrain. The large site-to-site variability in turbulence structure commonly found over complex terrain was then shown to be due to the differences in the frequency

of occurrence of each anisotropy type, causing large scatter in the data, as different anisotropy 600 states follow different scaling curves. For unstable stratification, anisotropy itself was found 601 to be the dominant processes causing the failure of scaling among the various datasets. 602 Whether the isotropic state or the two-component turbulence should actually be taken 603 as the reference state in unstable stratification remains a question - especially since the 604 atmospheric boundary layer turbulence is determined by the interplay between shear-605 and buoyancy-dominated turbulence (and the former is by definition anisotropic). Given 606 the fact that two-component turbulence is more prevalent in unstable stratification and 607 the data fit classic scaling relations better, suggests that the two-component limit is the 608 reference state for unstable stratification. For stable stratification, isotropic turbulence 609 is clearly the reference turbulence state, corresponding to weakly stable boundary lay-610 ers with well-developed turbulence [cf. Stiperski and Calaf, 2018]. In stable stratifica-611 tion, however, we see that anisotropy itself cannot explain all the variability observed. 612 It was therefore shown that physical mechanisms, such as wind directional shear, as well 613 as effects of mean wind speed gradients persisting to the smallest scales and affecting tur-614 bulence in the inertial subrange, cause the complexity of turbulence. While these pro-615 cesses obviously occur also over flat and homogeneous terrain, they are more frequent 616 and their effects more pronounced in complex terrain. 617

Another relevant issue associated with turbulence in complex terrain is the depth 618 of the boundary layer [Rotach and Zardi, 2007; De Wekker and Kossmann, 2015; Lehner 619 and Rotach, 2018], and consequently of the surface layer. Whereas we are employing lo-620 cal scaling (and not the Monin-Obukhov similarity scaling) and therefore do not require 621 that the measurement levels are strictly within the surface layer, it is important to in-622 vestigate the validity of this hypothesis. We therefore examine the median absolute de-623 viations from scaling  $(\Delta \Phi_u)$  as a function of measurement height for data separated ac-624 cording to anistropy (Figure 11). Compering the influence of height (stability) on scal-625 ing, shows that the height dependence within a given tower is on the same order as the 626 site to site variability. Indeed, the deviations from scaling show almost no height depen-627 dence for isotropic turbulence, particularly for  $\Phi_w, \Phi_\theta, \Phi_\varepsilon$ . There is a larger but non-systematic 628 variability for the horizontal velocity components under conditions of two-component ax-629 isymmetric turbulence, particularly for stable stratification, suggestive of intermittent 630 conditions and layering associated with this type of very stable turbulence. 631

These results suggest that the physics represented by the newly introduced vari-632 ables  $y_B, \varepsilon_{vu}, \alpha_{vw}, F_r$  should be considered when working on the development of new scal-633 ing relations. Additionally, the present results suggest that the multilinear regression ex-634 pressions such as found in this work could be used more effectively when determining 635 complexity of a given dataset than traditional measures, such as slope angle. It is quite 636 likely, however, that at least part of the remaining scatter (cf., Figure 9 will be associ-637 ated with spatial (horizontal) heterogeneity, which is inherent in complex terrain but dif-638 ficult to assess from single-tower observations. Before expressions for accounting for com-639 plexity in scaling relations themselves are used, this study would have to be extended 640 to more than twelve datasets to improve its statistical significance. Of particular need 641 in this respect would be horizontally distributed and long-term turbulence measurements 642 from tall towers over very complex mountainous terrain. It is, however, already clear that 643 this methodology provides a direction towards a unified theory of near-surface turbulence 644 in terrains of all kinds of complexity. This will have particularly large implications for 645 numerical modelling of weather and climate, where turbulence is parametrized by us-646 ing scaling relations developed and hence only valid over flat and horizontally homoge-647 neous terrain. 648

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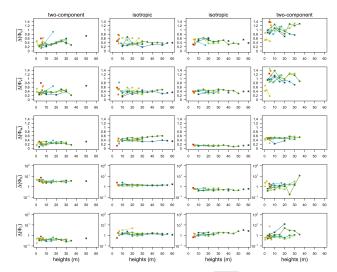


Figure 11. Median absolute deviations from scaling  $\overline{\Delta \Phi_y}$ ,  $y = u, v, w, \theta, \varepsilon$  as function of measurement height for unstable two-component axisymmetric and isotropic turbulence and stable isotropic and two-component axisymmetric turbulence.

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